

The interval object $[-1, 1]$

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- * Escardo and Simpson: universal characterization of real interval $[-1, 1]$, using *midpoint algebra* structure.
- * Localic $[-1, 1]$ has that property. Should also work in formal topology.
- * Part of proof: *localic surjection* $2^\omega \rightarrow [-1, 1]$

"The localic compact interval is an Escardo-Simpson interval object"
to appear in: Mathematical Logic Quarterly

Padova September 2017

Midpoint algebras

A with binary operation m satisfying

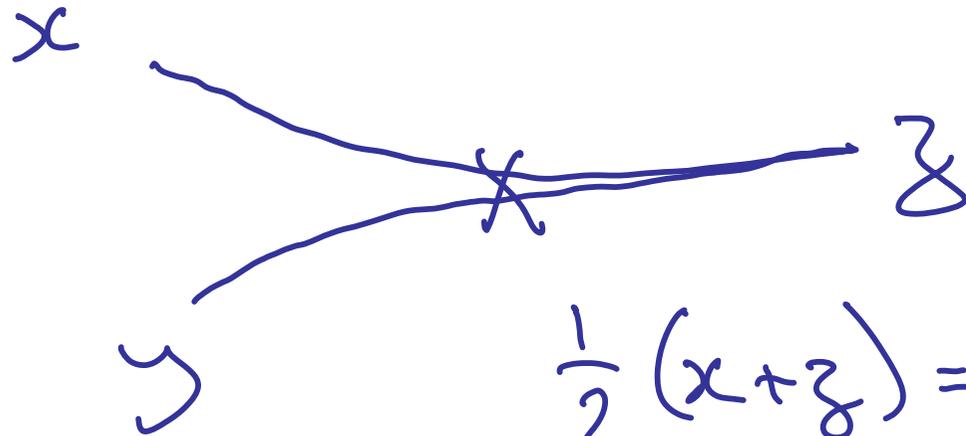
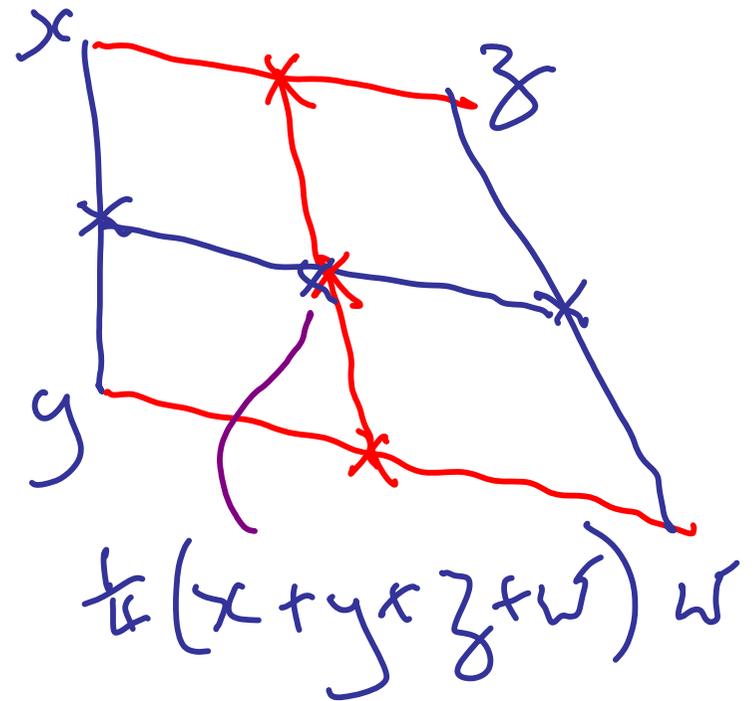
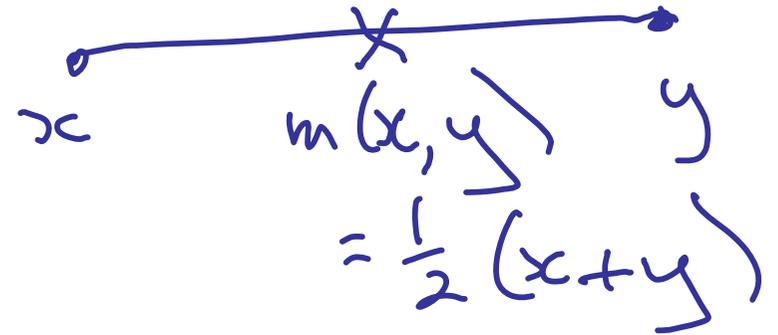
$$m(x,x) = x$$

$$m(x,y) = m(y,x)$$

$$m(m(x,y), m(z,w)) = m(m(x,z), m(y,w))$$

It's *cancellative* if

$$m(x,z) = m(y,z) \Rightarrow x = y$$



$$\frac{1}{2}(x+z) = \frac{1}{2}(y+z) \Rightarrow x=y$$

Dyadic rational convex combinations - are derived operations

e.g.

$$\frac{1}{8}x + \frac{5}{8}y + \frac{1}{4}z$$

$$= \frac{1}{2} \left\{ \frac{1}{4}x + \frac{3}{4}y + \frac{1}{2}y + \frac{1}{2}z \right\}$$

$$= \frac{1}{2} \left\{ \frac{1}{2} \left(\frac{1}{2}x + \frac{1}{2}y \right) + \frac{1}{2}y + \frac{1}{2}y + \frac{1}{2}z \right\}$$

$$= m \left(m \left(m(x, y), y \right), m(y, z) \right)$$

More general convex combinations

- can use recursion

e.g. $\frac{1}{3}x + \frac{2}{3}y$

Define recursively:

$$u = m(y, v)$$

$$v = m(x, u)$$

$$u = \frac{1}{2}(y + v) = \frac{1}{2}\left(y + \frac{1}{2}(x + u)\right)$$

$$\frac{3}{4}u = \frac{1}{2}y + \frac{1}{4}x$$

$$u = \frac{2}{3}y + \frac{1}{3}x$$

x

v

u

y

Iterative midpoint algebras: ability to do the recursion

A midpoint algebra A is *iterative* if:

for every - object X

- morphism $h: X \rightarrow A$ **head**

- morphism $t: X \rightarrow X$ **tail**

there is a unique $M: X \rightarrow A$ such that

$$M(x) = m(h(x), M(t(x)))$$

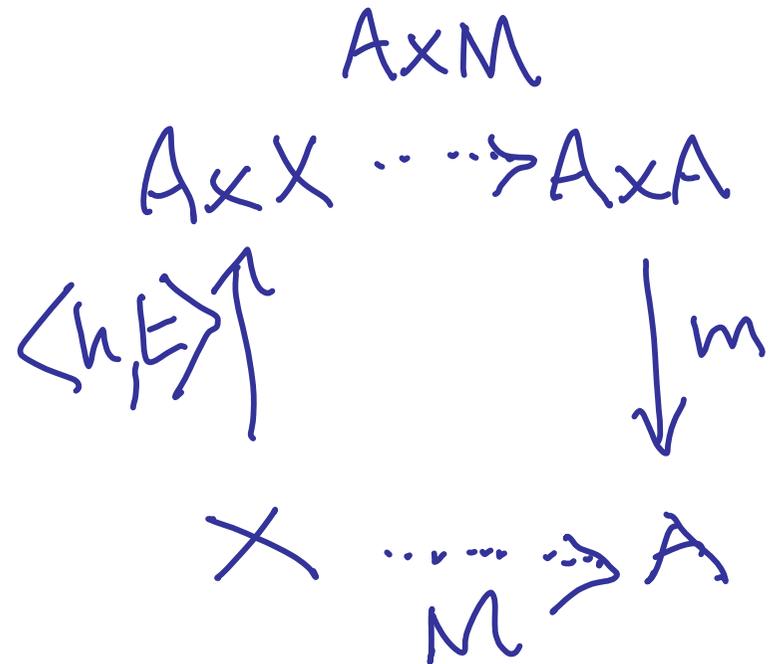
e.g. $X = \{0, 1\}$, $t(x) = 1-x$

$$M(0) = m(h(0), M(1))$$

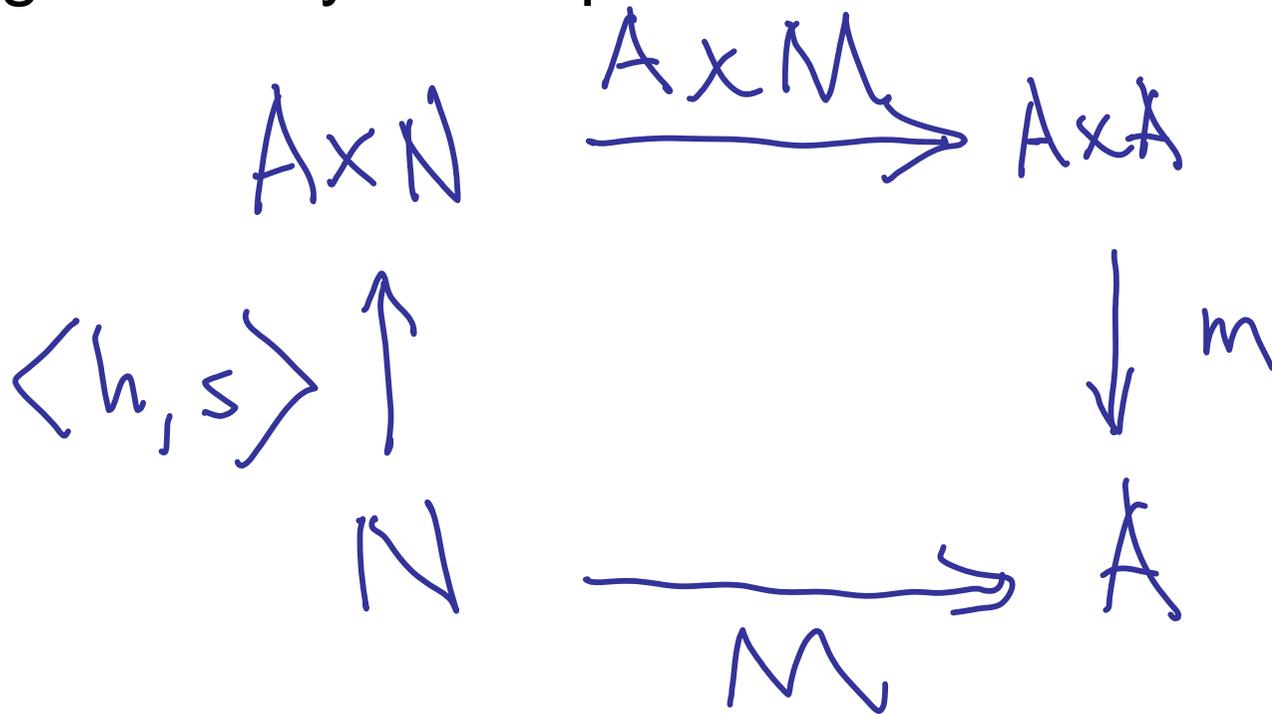
$$M(1) = m(h(1), M(0))$$

$$u = m(y, v)$$

$$v = m(x, u)$$



Using iterativity: example



$$M(n) = \sum_{i=n}^{\infty} \frac{1}{2^{i-n+1}} h(i)$$

infinitary convex combination:

$$\sum_{i=n}^{\infty} \frac{1}{2^{i-n+1}} = 1$$

Using iterativity: example

Fix two elements of A

Take $X = \text{Cantor space}$

$$2^\omega \xrightarrow{a_-, a_+}$$

Think: $2 = \{-, +\}$

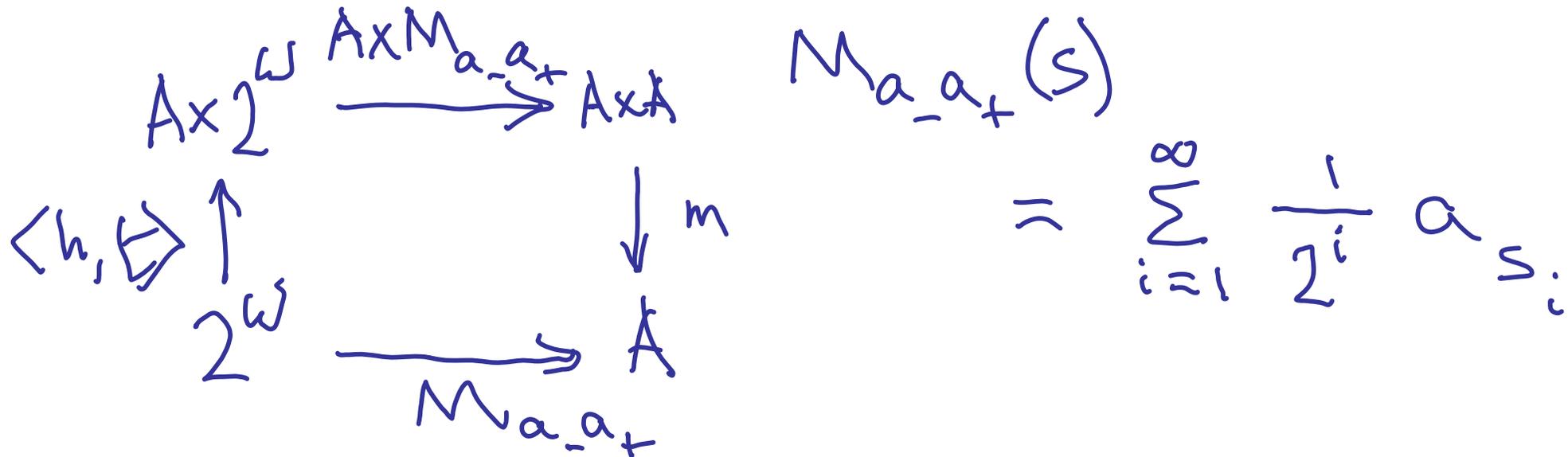
Point of Cantor space = sequence of signs

$$S = (s_i)_{i=1}^{\infty}$$

$t: X \rightarrow X$ is tail map - omit first entry

$h: X \rightarrow A$

$$h(s) = a_{s_1} \in \{a_-, a_+\}$$



Interval object I

= free iterative midpoint algebra on two *endpoints* x_-, x_+

For every other iterative midpoint algebra A equipped with two points

there is a unique midpoint homomorphism $I \rightarrow A$ mapping a_-, a_+

$$x_{\pm} \mapsto a_{\pm}$$

Intuition: I should be like convex hull of two points,
i.e. real interval $[-1, 1]$

Is that exactly what it is?

In point-set topology: Yes (Escardo and Simpson)

Point-free (locales): Yes (Vickers)

$\mathbb{I} =$ point-free space of Dedekind reals $[-1, 1]$

Steps of proof

1. I is iterative. (It's also cancellative.)

2. Consider $c: 2^\omega \rightarrow I$, defined as $c = M_{-1,+1}$

It evaluates infinite binary expansions,

$$c(s) = \sum_{i=1}^{\infty} \frac{s_i}{2^i}$$

3. c is a localic surjection,

4. ... and in fact it is a coequalizer of two maps $2^* \rightarrow 2^\omega$, expressing

$$c(-+^\omega) = c(+ -^\omega) - \frac{1}{2} + \sum_{i=2}^{\infty} \frac{1}{2^i} = \frac{1}{2} - \sum_{i=2}^{\infty} \frac{1}{2^i} = 0$$

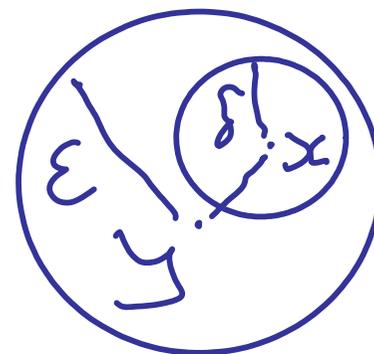
5. We can now define $I \rightarrow A$ using $2^\omega \rightarrow A$

6. Finally we prove it preserves midpoints.

I is iterative

Use metric space theory and ball domains.

I is localic completion of $D = \{\text{dyadic rationals in } (-1,1)\}$



Formal ball = (x, δ) , x in D , δ positive rational

$$(x, \delta) \subset (y, \varepsilon) \text{ if } d(y, x) + \delta < \varepsilon$$

Ball domain $\text{Ball}(D) =$ space of rounded filters of formal balls

radius of ball = $\inf \{ \delta \mid (x, \delta) \text{ in filter} \}$ (an upper real)

Localic completion $I =$ space of Cauchy filters,
i.e. filters of radius 0

Let \perp be rounded upset of $(0, 1)$, and B be upper closure in $\text{Ball}(D)$.

(High up = big filter = small ball.)

B

$\mathbb{I} \rightarrow \mathbb{B}$

\mathbb{I}



$[-1, 0]$

$\cdot [-\frac{1}{2}, \frac{1}{2}]$

$[0, 1]$

$\perp [-1, 1]$



1. m extends to $m': I \times B \rightarrow B$

$$m'(x, U) = \{m(x, u) \mid u \text{ in } U\}$$

2. radius of $m'(x, U) = 1/2 * \text{radius of } U$.

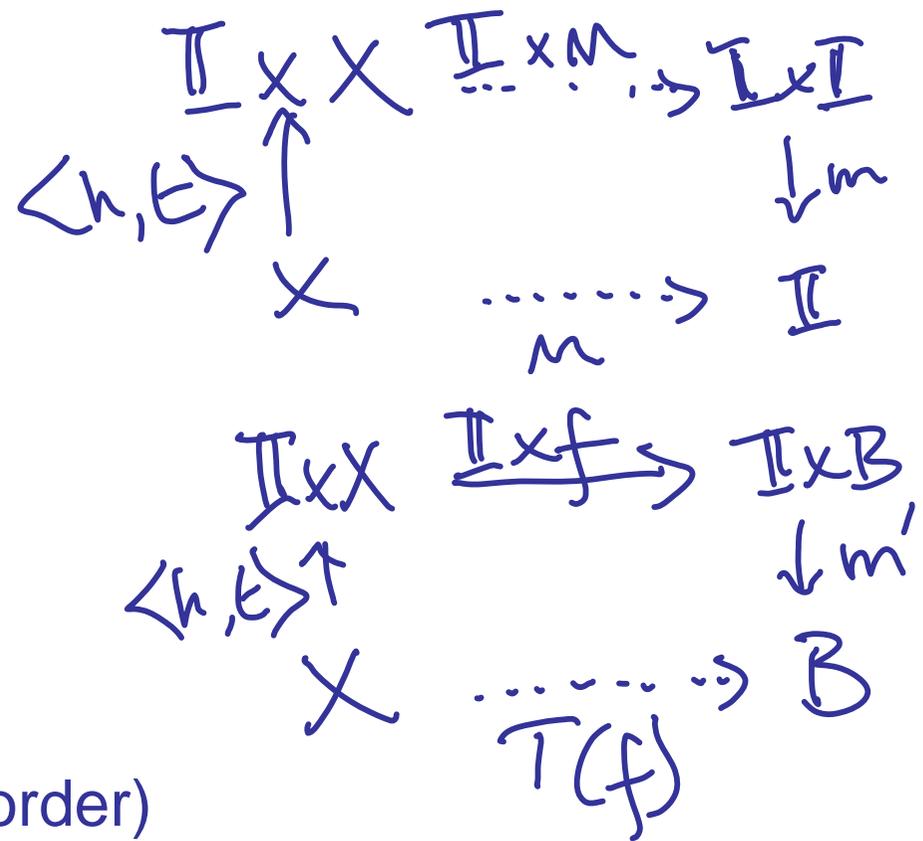
3. Given $f: X \rightarrow B$, define
 $T(f) = \langle h, t \rangle ; (I \times f) ; m'$

4. T is monotone (w.r.t. specialization order)

5. Define $f_0 = \text{constant bottom}$, calculate directed join of

$$f_0 \sqsubseteq T(f_0) \sqsubseteq T^2(f_0) \sqsubseteq T^3(f_0) \sqsubseteq \dots$$

6. Radii halved at each stage, so join factors via I (radius 0).
 This gives $M: X \rightarrow I$.



$$C = \mathcal{M}_{-1,1} : 2^\omega \rightarrow \mathbb{I} \quad \text{localic surjection}$$

$$c(s) = \sum_{i=1}^{\infty} \frac{s_i}{2^i}$$

not surjective on points (constructively)

frame homomorphism is injection

conservativity:

- to infer inclusion between opens of \mathbb{I}
- suffices to do it for inverse images in Cantor space

Proof - intricate, uses coverage theorems.

See paper

Remarks on localic surjections

$f: X \rightarrow Y$ surjection $\Omega X \leftarrow \Omega Y: \Omega f$ injection

In general: hard to prove predicatively

frames are impredicative constructions

In practical cases:

- show Ωf a *split* injection, with 1-sided inverse $\theta: \Omega X \rightarrow \Omega Y$
- such that θ is Scott continuous (preserves directed joins)

To define θ :

- * present ΩX by generators and relations
(e.g. inductively generated formal topology)
- * manipulate presentation into form suitable for coverage theorem
- * define θ by its action on generators

Then show $\Omega f; \theta = \text{Id}$

dcpo coverage theorem **see, e.g., Jung-Moshier-Vickers**

Suppose ΩX presented by generators and relations in form

Fr \langle generators L (qua DL) | relations $a \leq \vee U \rangle$

where

- L is a distributive lattice (DL)
- the joins in the relations are all directed
- the set of relations is meet- and join-stable

**dcpo =
directed complete poset**

Then ΩX is isomorphic to

dcpo \langle generators L (qua poset) | same relations $a \leq \vee U \rangle$

Similar, and easier, results for preframes and suplattices

Examples of tractable localic surjections

Split surjections (retractions)

f has one-sided inverse g

$$X \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{g} \end{array} Y$$

$$g \circ f = \text{Id}_X$$

$\theta = \Omega g$ is a frame homomorphism

Examples of tractable localic surjections

Open surjections

f open if Ωf has left adjoint θ satisfying Frobenius condition

$$\theta(a \wedge \Omega f(b)) = \theta(a) \wedge b$$

θ preserves all joins (suplattice hom)

- hence defines map $g: Y \rightarrow P_L(X)$ **lower powerlocale**
- if $\Omega f; \theta = \text{Id}$ then g factors through **positive lower powerlocale**

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & & \swarrow g \\ & & P_L^+ X \end{array}$$

g provides non-deterministic splitting

- don't choose a particular pre-image
- but show there is a non-empty space of them

Examples of tractable localic surjections

Proper surjections

$$\text{e.g. } c: 2^\omega \rightarrow [-1, 1]$$

f proper if Ωf has Scott continuous left adjoint θ satisfying Frobenius condition

$$\theta(a \vee \Omega f(b)) = \theta(a) \vee b$$

θ preserves finite meets and directed joins (preframe hom)
(in fact it preserves all meets)

Get non-deterministic splittings using upper powerlocale

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & & \swarrow g \\ P_{\omega}^+ X & & \end{array}$$

Examples of tractable localic surjections

Triquotients **Plewe**

f triquotient if there is

- Scott continuous $\theta : \Omega X \rightarrow \Omega Y$
- satisfying both Frobenius conditions

triquotients are surjections

there is also a non-surjective version

$$\theta (a \wedge \Omega f(b)) = \theta(a) \wedge b$$

$$\theta (a \vee \Omega f(b)) = \theta(a) \vee b$$

Non-deterministic splitting uses double powerlocale.

e.g. can define point-free space $\text{Cauchy}(\mathbb{Q})$ of Cauchy sequences (with specified rate of convergence)

and map $\text{lim} : \text{Cauchy}(\mathbb{Q}) \rightarrow \mathbb{R} = \text{Dedekind reals}$

Then lim is triquotient (**Vickers**)

$c : 2^\omega \longrightarrow [-1, 1]$ is proper surjection

- we know it is proper, as both spaces are compact regular
- need description of $\theta =$ right adjoint of Ωc
- manipulate presentation of $\Omega 2^\omega$ into form with join-semilattice of generators, join-stable set of relations
- intricate!
- define action of θ on generators
- show it really is right adjoint of Ωc
- show it splits Ωc

Section 6 of my paper.

Can it be simplified?

Section 7 also proves c is a surjection

c as coequalizer

General result:

any proper surjection is coequalizer of its kernel pair

More usefully:

discrete space of finite sequences

get c as coequalizer of two maps from 2^*

$$c(- +^\omega) = c(+ -^\omega) = 0$$

$$\therefore c(s - +^\omega) = c(s + -^\omega) \quad \text{for all finite } s$$

$$\parallel \text{def}$$
$$u_-(s)$$

$$\parallel \text{def}$$
$$u_+(s)$$

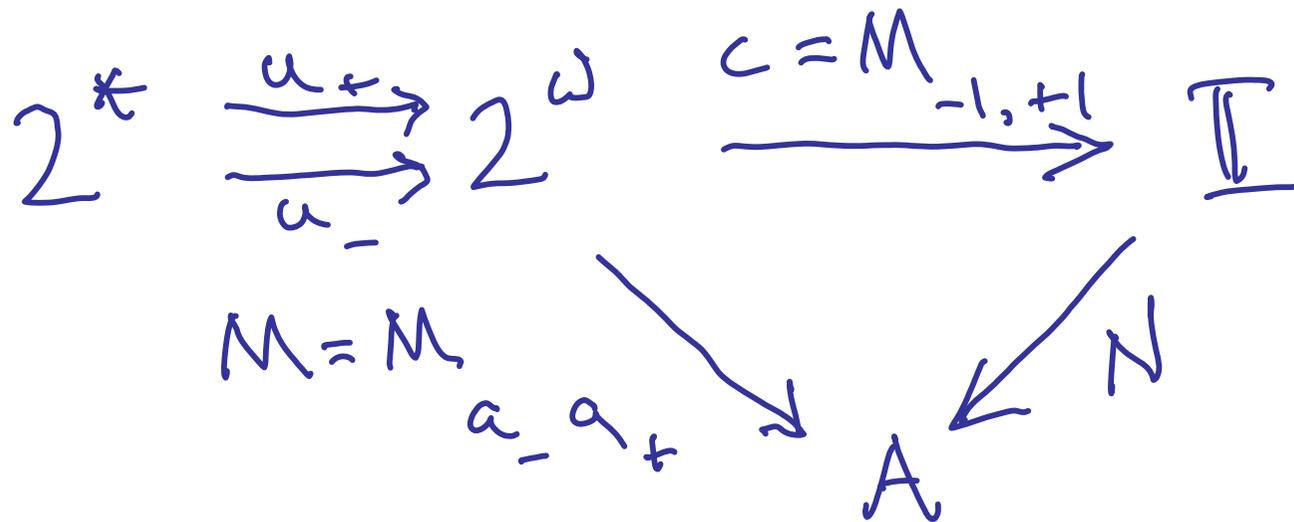
$$2^* \begin{array}{c} \xrightarrow{u_+} \\ \xrightarrow{u_-} \end{array} 2^\omega \xrightarrow{c} \mathbb{I} \quad \text{coequalizer}$$

I is interval object

Suppose A an iterative midpoint algebra, with two given points a_-, a_+

Want unique midpoint hom $N: I \rightarrow A$ with $\pm 1 \mapsto a_{\pm}$

* If N exists, then $c;N = M$ **easy**

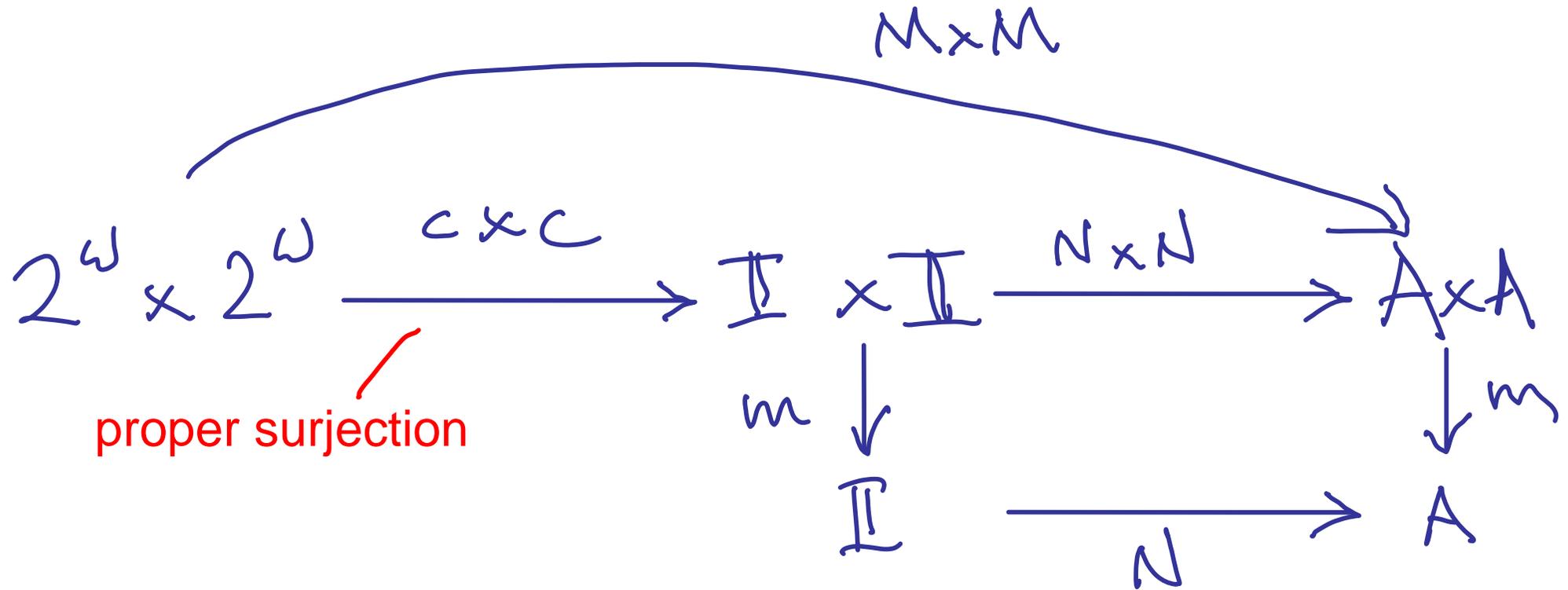


* M composes equally with u^- , u^+ **easy**

* Hence can define N

I is interval object

Must show N preserves midpoints



suffices to show square commutes when composed with $c \times c$

Midpoint map on 2^ω

$$m_s: 2^\omega \times 2^\omega \rightarrow 3^\omega, \quad 3 = \{-, 0, +\}$$

$$m_s(\pm s, \pm s') = \pm m(s, s')$$

$$m_s(\pm s, \mp s') = 0 m(s, s')$$

Also $M_0: 3^\omega \rightarrow A$ with

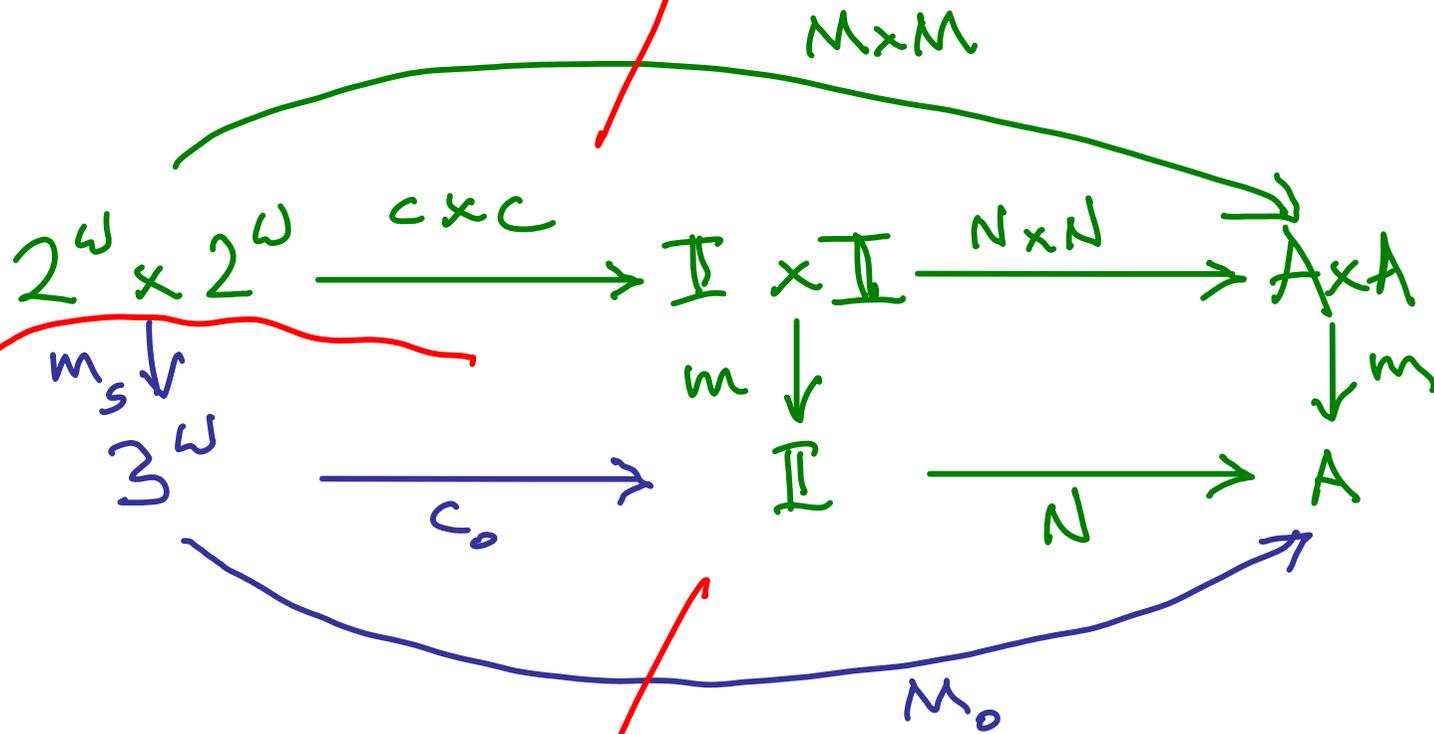
$$M_0(0s) = m(m(a_-, a_+), M_0(s))$$

$$M_0(\pm s) = m(a_\pm, M_0(s))$$

top triangle commutes by definition

outermost square commutes

so does this square (special case, I for A)



bottom triangle commutes

=> right-hand square commutes when composed with cxc

=> it commutes anyway (cxc surjective)

Conclusions

- * Interesting characterization of compact real interval
 - should lead more generally to treatment of convexity
- * works point-free
 - locales
 - should also work for inductively generated formal topologies
- * examples of point-free surjections
 - *not* constructively surjective on points
 - "non-deterministic splittings" using hyperspaces

References

Escardo and Simpson

A universal characterization of the closed Euclidean interval

Proceedings of LICS 2001, pp.115-125

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Presenting dcpos and dcpo algebras

Proceedings of MFPS XXIV, ENTCS 218 (2008) pp. 209-229

Vickers

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To appear in Mathematical Logic Quarterly